# Decision Procedures in First Order Logic

Decision Procedures for Equality Logic



#### Outline

- **✓** Introduction
  - ✓ □ Definition, complexity
  - √ □ Reducing Uninterpreted Functions to Equality Logic
  - ▼ Using Uninterpreted Functions in proofs
  - √ □ Simplifications
  - Introduction to the decision procedures
    - ☐ The framework: assumptions and Normal Forms
    - ☐ General terms and notions
    - □ Solving a conjunction of equalities
    - □ Simplifications



# Basic assumptions and notations

- Input formulas are in NNF
- Input formulas are checked for satisfiability
- Formula with Uninterpreted Functions: φ<sup>UF</sup>
- **Equality formula:**  $\phi^{E}$



# First: conjunction of equalities

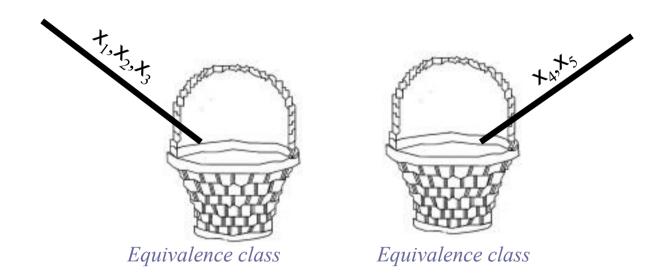
Input: A conjunction of equalities and disequalities

- Define an equivalence class for each variable. For each equality x = y unite the equivalence classes of x and y. Repeat until convergence.
- 2. For each disequality **u** ≠ **v** if **u** is in the same equivalence class as **v** return 'UNSAT'.
- 3. Return 'SAT'.



# Example

$$\mathbf{x}_1 = \mathbf{x}_2 \land \mathbf{x}_2 = \mathbf{x}_3 \land \mathbf{x}_4 = \mathbf{x}_5 \land \mathbf{x}_5 \neq \mathbf{x}_1$$

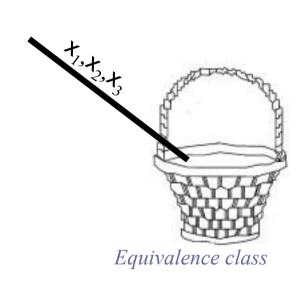


Is there a disequality between members of the same class?



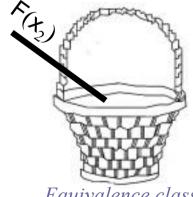
# Next: add Uninterpreted Functions

$$\mathbf{x}_1 = \mathbf{x}_2 \land \mathbf{x}_2 = \mathbf{x}_3 \land \mathbf{x}_4 = \mathbf{x}_5 \land \mathbf{x}_5 \neq \mathbf{x}_1 \land \mathbf{F}(\mathbf{x}_1) \neq \mathbf{F}(\mathbf{x}_2)$$

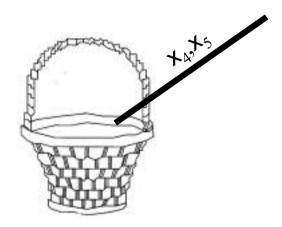




Equivalence class



Equivalence class
Decision Procedures
An algorithmic point of view

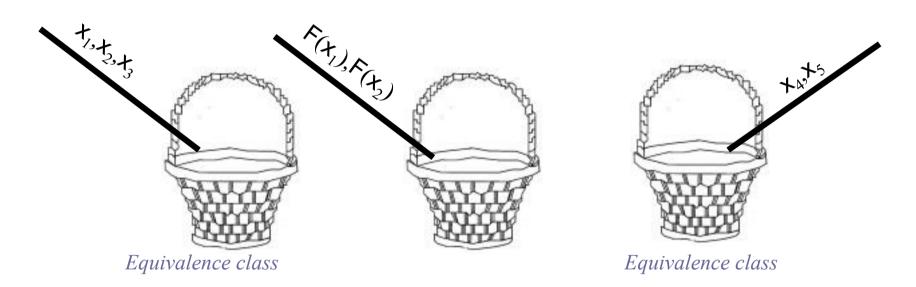


Equivalence class



# Next: Compute the Congruence Closure

 $\mathbf{x}_1 = \mathbf{x}_2 \land \mathbf{x}_2 = \mathbf{x}_3 \land \mathbf{x}_4 = \mathbf{x}_5 \land \mathbf{x}_5 \neq \mathbf{x}_1 \land \mathbf{F}(\mathbf{x}_1) \neq \mathbf{F}(\mathbf{x}_2)$ 

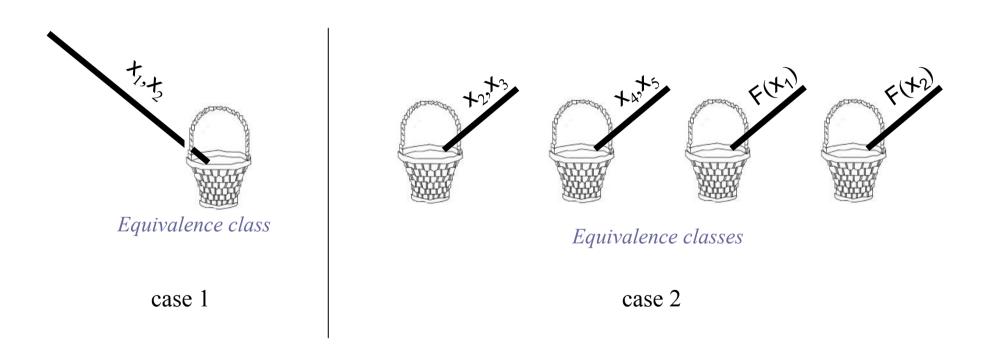


Now - is there a disequality between members of the same class? This is called the Congruence Closure



#### And now: consider a Boolean structure

$$\mathbf{x}_1 = \mathbf{x}_2 \lor (\mathbf{x}_2 = \mathbf{x}_3 \land \mathbf{x}_4 = \mathbf{x}_5 \land \mathbf{x}_5 \neq \mathbf{x}_1 \land \mathbf{F}(\mathbf{x}_1) \neq \mathbf{F}(\mathbf{x}_2))$$



Syntactic case splitting: this is what we want to avoid!



## Deciding Equality Logic with UFs

- Input: Equality Logic formula  $\phi^{UF}$
- Convert  $\phi^{UF}$  to DNF
- For each clause:
  - ☐ Define an equivalence class for each variable and each function instance.
  - $\square$  For each equality x = y unite the equivalence classes of x and y. For each function symbol F, unite the classes of F(x) and F(y). Repeat until convergence.
  - ☐ If all disequalities are between terms from different equivalence classes, return 'SAT'.
- Return 'UNSAT'.

# M

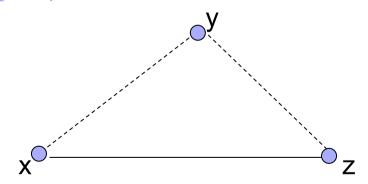
#### Basic notions

$$\phi^{E}$$
:  $x = y \land y = z \land z \neq x$ 

■ The Equality predicates:  $\{x = y, y = z, z \neq x\}$  which we can break to two sets:

$$E_{=} = \{x = y, y = z\}, \qquad E_{\neq} = \{z \neq x\}$$

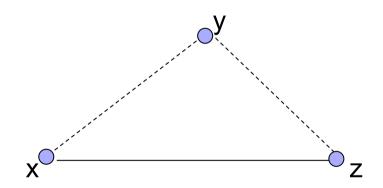
■ The Equality Graph  $G^{E}(\phi^{E}) = (V, E_{=}, E_{\neq})$  (a.k.a "E-graph")





$$\phi_1^E$$
:  $x = y \land y = z \land z \neq x$  unsatisfiable

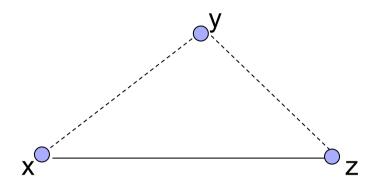
$$\phi_2^E$$
:  $x = y \land y = z \land z \neq x$  satisfiable



The graph  $G^E(\phi^E)$  represents an abstraction of  $\phi^E$ 

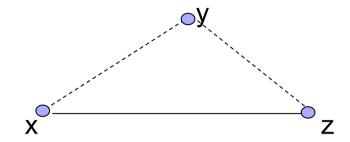
It ignores the Boolean structure of  $\phi^E$ 





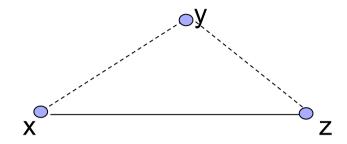
- *Dfn*: a path made of  $E_{-}$  edges is an *Equality Path*. we write x = \*z.
- *Dfn*: a path made of  $E_{\pm}$  edges + exactly one edge from  $E_{\pm}$  is a *Disequality Path*. We write  $x \neq *y$ .





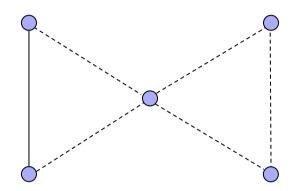
- Dfn. *A cycle with one disequality edge is a* Contradictory Cycle.
- In a Contradictory Cycle, for every two nodes x,y it holds that x =\* y and x ≠\* y.





- Dfn: A subgraph is called satisfiable iff the conjunction of the predicates represented by its edges is satisfiable.
- Thm: A subgraph is unsatisfiable iff it contains a Contradictory cycle



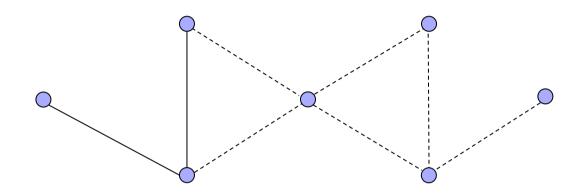


■ Thm: Every Contradictory Cycle is either simple or contains a simple contradictory cycle



# Simplifications, again

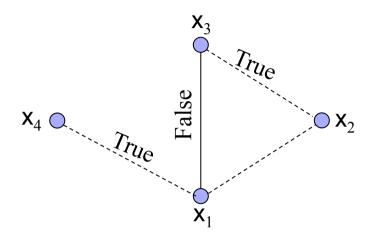




- Let S be the set of edges that are not part of any Contradictory Cycle
- Thm: replacing all solid edges in S with False, and all dashed edges in S with True, preserves satisfiability

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# Simplification: example



- $(x_1 = x_2 \lor x_1 = x_4) \land (x_1 \ne x_3 \lor x_2 = x_3)$
- $(x_1 = x_2 \vee True) \wedge (x_1 \neq x_3 \vee x_2 = x_3)$
- (False v True) = True
- Satisfiable!



# Syntactic vs. Semantic splits

- So far we saw how to handle disjunctions through syntactic case-splitting.
- There are much better ways to do it than simply transforming it to DNF:
  - □ Semantic Tableaux,
  - □ SAT-based splitting,
  - □ others...
- We will investigate some of these methods later in the course.



## Syntactic vs. Semantic splits

■ Now we start looking at methods that split the search space instead. This is called *semantic splitting*.

■ SAT is a very good engine for performing semantic splitting, due to its ability to guide the search, prune the search-space etc.